

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

528 Rec'd PCT/PTO 28 FEB 2001

113197-004

In Re Application Of: Isono et al.

Serial No.

Unknown

Filing Date

Herewith

Examiner

Unknown

Group Art Unit

Unknown

Title:

OPTICAL MODULE, OPTICAL ELEMENT ATTACHMENT METHOD, AND RECEPTACLE-FITTED MODULE

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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Signature

Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.


Signature

Dated: February 28, 2001

Michael S. Leonard, Esq. (Reg. No. 37,557)
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CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Isono et al.
SERIAL NO: Unknown
FILED: February 28, 2001

DOCKET NO.: 113197-004
GROUP ART UNIT: Unknown
EXAMINER: Unknown

INVENTION: "OPTICAL MODULE, OPTICAL ELEMENT ATTACHMENT METHOD,
AND RECEPTACLE-FITTED OPTICAL MODULE"

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that citation and consideration of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. 1.98, be made during the course of examination of the above-mentioned application for United States Patent.

U.S. Patents

<u>Inventor(s)</u>	<u>Patent No.</u>	<u>Issue Date</u>
Chang	5,047,835	September 10, 1991
Kakii et al.	5,719,978	February 17, 1998

Foreign Patents

<u>Country</u>	<u>Document No.</u>	<u>Publication Date</u>
Europe	437 931	July 24, 1991
Japan	4-119304	April 20, 1992
PCT	WO94/23318	October 13, 1994
Europe	645 651	March 29, 1995
Japan	7-209556	August 11, 1995
China	1106985	August 16, 1995

<u>Country</u>	<u>Document No.</u>	<u>Publication Date</u>
PCT	WO98/32042	July 23, 1998
Japan	10-206698	August 7, 1998
Europe	895 112	February 3, 1999

Japan 10-206 698 and WO98/32042 which are in the Japanese language are family members with EP-895 112 which is in the English language. Also, WO98/32042 has an English abstract. Japan 4-119304 is related to family member U.S. 5,047,835. Japan 7-209556, China 1106985, and WO94/23318 are family members with U.S. 5,719,978 and EP 645 651 which are in the English language. WO 94/23318 also has an English abstract.

Respectfully submitted,



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CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Express Mail No. EL387675470US in an express mail envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 February 28, 2001.

